

**OPTIONAL Cyber Coverage Endorsement  
Supplemental Application**

1. Name of Applicant: \_\_\_\_\_
2. Please indicate the Cyber Coverage Endorsement Limits of Liability you are requesting (cannot be higher than your E&O limit)
- ☐ \$250,000 Third Party coverage including \$62,500 First party      ☐ \$500,000 Third Party coverage including \$125,000 First party      ☐ \$1,000,000 Third Party coverage including \$250,000 First Party

Annual Revenue \$ \_\_\_\_\_

Number of records stored and handled containing personally identifiable information: \_\_\_\_\_

- |     |   |                              |                             |
|-----|---|------------------------------|-----------------------------|
| 3.  | Does your website collect personal information such as the social security number, date of birth, driver's license, etc., of others?  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|     | If yes, is it collected through a secure interface?   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 4.  | Does your business have a secure firewall and up-to-date anti-virus program?  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 5.  | Are your business systems password protected?   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 6.  | Do you restrict access to personal information on a business need-to-know basis?  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 7.  | Is encryption used when transmitting personal information through email?  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 8.  | Are portable devices containing personal information encrypted or password protected?   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 9.  | Are paper records containing personal information securely stored when not in use?  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 10. | Does your business shred documents containing personal information prior to disposal?   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 11. | Do you conduct training for all employees regarding your firm's privacy and security policies?  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 12. | Are third-party or outsourced service providers required to demonstrate adequate data protection, security policies and protocols prior to contracting with them?   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 13. | Do you update (e.g. patch, upgrade) commercial software for known security vulnerabilities according to manufacturer's notifications and advice?  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 14. | Within the last 3 years has your business experienced a security breach or loss of personal information or been accused of a privacy violation? If yes, provided detailed explanation.  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 15. | Within the last 3 years has your business experienced a security breach or loss of personal any regulatory or administrative agency for privacy-related violations? If yes, provide detailed explanation.   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 16. | Is the applicant or any other person proposed for this insurance aware of any fact, circumstance, situation, event, act or transaction that may reasonably give rise to a claim or privacy breach notification under the proposed insurance? If yes, provide details. | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

## Fraud Warning

**NOTICE: ANY PERSON WHO, KNOWINGLY OR WITH INTENT TO DEFRAUD OR TO FACILITATE A FRAUD AGAINST ANY INSURANCE COMPANY OR OTHER PERSON, SUBMITS AN APPLICATION OR FILES A CLAIM FOR INSURANCE CONTAINING FALSE, DECEPTIVE OR MISLEADING INFORMATION MAY BE GUILTY OF INSURANCE FRAUD.**

**NOTICE TO ALABAMA APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit, or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution or confinement in prison, or any combination thereof.

**NOTICE TO ARKANSAS, NEW MEXICO, RHODE ISLAND AND WEST VIRGINIA APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit, or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO CALIFORNIA APPLICANTS: WARNING** – For your protection California law requires the following to appear on this form: Any person who knowingly presents false or fraudulent information to obtain or amend insurance coverage or to make a claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

**NOTICE TO COLORADO APPLICANTS:** It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an Insurance Company for the purpose of defrauding or attempting to defraud the Company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any Insurance Company or agent of an Insurance Company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

**NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING:** It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

**NOTICE TO FLORIDA APPLICANTS:** Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete or misleading information is guilty of a felony in the third degree.

**NOTICE TO KANSAS APPLICANTS:** Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written, electronic, electronic impulse, facsimile, magnetic, oral or telephonic communication statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act.

**NOTICE TO KENTUCKY APPLICANTS:** Any person who knowingly and with the intent to defraud any Insurance Company or other person files an application for insurance containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime.

**NOTICE TO LOUISIANA APPLICANTS:** Any person who knowingly and with intent to deceive, presents a false or fraudulent claim for payment of a loss or benefit, or knowingly and with intent to deceive, presents false information, that is material to the risk, in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison. Fraud or misrepresentation with the intent to deceive made after the contract is formed is grounds to deny coverage for illegitimate claims and is reason for cancellation, but the insurer must supply coverage for legitimate claims until cancellation is effective.

**NOTICE TO MAINE, TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an Insurance Company for the purpose of defrauding the Company. Penalties include imprisonment, fines and denial of insurance benefits.

**NOTICE TO MARYLAND APPLICANTS:** Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO MINNESOTA APPLICANTS:** A PERSON WHO FILES A CLAIM WITH INTENT TO DEFRAUD OR HELPS COMMIT A FRAUD AGAINST AN INSURER IS GUILTY OF A CRIME.

**NOTICE TO NEW JERSEY APPLICANTS:** Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

**NOTICE TO NEW YORK APPLICANTS:** Any person who knowingly and with intent to defraud any Insurance Company or other person files an application for insurance or statement of claims containing any materially false information, or conceals for the purpose of misleading information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

**NOTICE TO OHIO APPLICANTS:** Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

**NOTICE TO OKLAHOMA APPLICANTS: WARNING:** Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony

**NOTICE TO OREGON APPLICANTS:** Any person who, knowingly and with intent to defraud or facilitate a fraud against any insurance company or other person, submits an application, or files a claim for insurance containing any false, deceptive, or misleading material information may be guilty of insurance fraud.

**NOTICE TO PENNSYLVANIA APPLICANTS:** Any person who knowingly and with intent to defraud any Insurance Company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

**Name:** \_\_\_\_\_  
(Print Name)

**Title:** \_\_\_\_\_  
(Print Title)

**Signature:** \_\_\_\_\_  
(Must be signed by Owner, Partner or Senior Officer)

**Date:** \_\_\_\_\_  
(Month/Day/Year)